

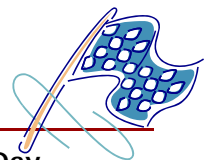


RAD 303

Research Administration Challenges With International Research Funding Programs

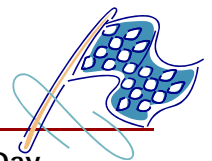
Presented by Lorraine Deydey
Director, Research Services Office

May 31, 2012



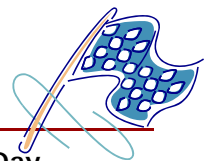
Research Portfolio Plan & Goals 2012-16

Research Portfolio Goal:
To foster international
relationships





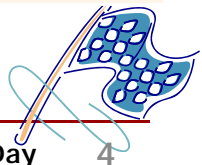
Research Administration Complexity





Pre-Award

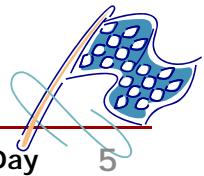
Sponsor	NIH	FP7 Collaborative Projects
Registration to Participate	UA Authorized Official PI Profile	UA: Participant Identification Code
PI Eligibility	UA: Eligibility Policy NIH: No US comparable research • RFA/PA Notices	UA: Eligibility Policy FP7: As co-applicant ('beneficiary') • Canadian must support their own participation
Application Process	Lead Institution submits electronic application	Lead European institution submits electronic proposal
Agreement	Lead Institution: Notice of Award Co-applicant: Sub-grant with Lead Institution	<ul style="list-style-type: none"> • Invitation to Negotiate • Grant Agreement • Accession to Grant Agreement Form • Consortium Agreement





Pre-Award

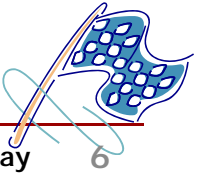
Sponsor	NIH	FP7 Collaborative Projects
Terms and Conditions of Funding	NIH Grants Policy NIH Assurances and Certifications	<ul style="list-style-type: none"> • Accession to the Grant Agreement • Consortium Agreement
Signatures	UA Signature requirements prior to submission	<p>Proposal - UA signature not required by EC or Lead Institution</p> <p>Grant Agreement - UA signatures required on <i>Accession to Grant Agreement</i> form by EC</p> <p>Consortium Agreement - UA signatures required by EC and Lead Institution</p>
Indirect Costs of Research (Overhead)	8% on direct costs, excluding equipment	A flat rate of 20%





Pre-Award

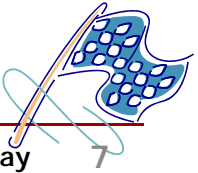
Sponsor	NIH	FP7 Collaborative Projects
Partner Funding	Not required	Maximum of 75% of programme costs
Scope of Work	Generally as submitted in application	<ul style="list-style-type: none"> • Lead European institution negotiates technical modifications to description of work requested by FP7 • Final Scope of Work finalized in <i>Grant Agreement</i>
Personnel Considerations	Generally suitable for graduate students working on thesis-related work and other trainees	May not be suitable for graduate students working on thesis related work - may involve intellectual property and industrial partners





Post-Award

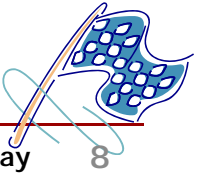
Sponsor	NIH	FP7 Collaborative Projects
Award	Currency: US Funds No matching required	Currency: Euros Matching funding
Using Funds	On receipt of NIH Notice of Award	Pre-Financing payment may be available
Flow of Funds	Y1: Quarterly Subsequent Years: NIH prior approval of Non-Competing Continuation Progress Report	<ul style="list-style-type: none"> • Pre-Financing payment • Interim payments • Holdbacks
Unspent Funds	<ul style="list-style-type: none"> • Carry-over only if authorized in Notice of Award • Otherwise requires prior NIH approval 	<ul style="list-style-type: none"> • Interim payments issued on completion of milestones





Post-Award

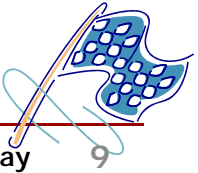
Sponsor	NIH	FP7 Collaborative Projects
Eligibility of Expenses	<ul style="list-style-type: none"> • Reasonable • Directly assigned to Scope of Work • Conform with NIH Policy 	<ul style="list-style-type: none"> • Actual costs • Directly assigned to Scope of Work
Allowable Costs	<p>Indirect Costs: Restricted use</p> <p>Direct Costs:</p> <ul style="list-style-type: none"> • Personnel • Equipment • Insuring Equipment (if requested as Direct Cost) • Travel • Minor Alterations/Renovations 	<p>Indirect Costs</p> <p>Direct Costs:</p> <ul style="list-style-type: none"> • Personnel • Supplies • Equipment • Travel/Accommodations





Post-Award

Sponsor	NIH	FP7 Collaborative Projects
Ineligible Expenses	<ul style="list-style-type: none"> • Customs and Import Duties • Sales Tax • Overhead 	<ul style="list-style-type: none"> • Customs and Import Duties • Sales Tax • Interest on overdue invoices
Honorarium/ PI Salary	<ul style="list-style-type: none"> • Included in Budget • Reflect effort of PI and Employee • Salary replacement vs. salary supplement 	<ul style="list-style-type: none"> • Included in Budget • Reflect actual cost based on actual hours worked on project
Deliverables	<ul style="list-style-type: none"> • General based on application for funding 	<ul style="list-style-type: none"> • Specific with a higher degree of accountability • Achievement of milestones required for future payments





Post-Award

Sponsor	NIH	FP7 Collaborative Projects
Reporting Requirements	<ul style="list-style-type: none"> Annual Progress Report Financial Status Report (in \$US) Invention Reporting 	<ul style="list-style-type: none"> Periodic Reporting End of Project Reports
Intellectual Property Rights	<ul style="list-style-type: none"> Governed by policy of recipient institution 	<ul style="list-style-type: none"> Standard Grant Agreement Consortium Agreement
Publication Rights	<ul style="list-style-type: none"> No restrictions to PI and grad students 	<ul style="list-style-type: none"> Other beneficiaries must be informed at least 45 days prior to dissemination
Open Access Policy	<ul style="list-style-type: none"> PubMed Central (PMC) database 	No publication requirements
Project Extension	<p>Automatic if received at least 10 days prior to end date</p> <p>Prior Approval if requested after end date or if requested more than once</p>	<i>Grant Agreement</i> amendment required

